

032604
17236 U.S.PTO

**NEW UTILITY
PATENT APPLICATION
TRANSMITTAL**
(only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket Number	23085-07809
First Named Inventor	Ryan Rifkin
Title	SPEAKER RECOGNITION USING LOCAL MODELS
Express Mail Label No.	EV 442674137 US

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
3. <input checked="" type="checkbox"/> Specification	Total Pages 28	9. <input type="checkbox"/> Power of Attorney or Authorization of Agent	
(preferred arrangement set forth below)		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement	
■ Descriptive Title of the Invention		11. <input type="checkbox"/> Preliminary Amendment	
■ Cross Reference(s) to Related Case(s)		12. <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A	<input type="checkbox"/> Copies of IDS Citation(s)
■ Statement Regarding Fed sponsored R & D		13. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122	
■ Background of the Invention		(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent	
■ Brief Summary of the Invention		14. <input checked="" type="checkbox"/> Return Postcard	
■ Brief Description of the Drawing(s)		15. <input type="checkbox"/>	
■ Detailed Description		16. <input type="checkbox"/>	
■ Claim or Claims		17. <input type="checkbox"/>	
■ Abstract of the Disclosure			
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets 5		
5. Oath or Declaration			
a. <input checked="" type="checkbox"/> New Declaration	Total Pages 2		
<input type="checkbox"/> Executed (original or copy)			
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)			
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
ADDRESS TO:			
Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

00758

Customer Number

Name (Print/Type)	Dorian Cartwright	Registration No. (Attorney/Agent)	53,853
Signature	<i>Dorian Cartwright</i>	Date	March 26, 2004

23085/07809/DOCS/1423082.1

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032604

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$)** 1,288.00

Complete if Known	
Application Number	Not yet known
Filing Date	March 26, 2004
First Named Inventor	Ryan Rifkin
Examiner Name	Not yet known
Art Unit	Not yet known
Attorney Docket No.	23085-07809

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None
 Deposit Account:

Deposit Account Number **19-2555**
 Deposit Account Name **Fenwick & West LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	Code	(\$)	Code	Code	(\$)	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	770		
1002	2002	340	170	Design filing fee			
1003	2003	530	265	Plant filing fee			
1004	2004	770	385	Reissue filing fee			
1005	2005	160	80	Provisional filing fee			
SUBTOTAL (1)		(\$)		770			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	44 -20** =	24 x 18 =	432
Independent Claims	4 -3** =	1 x 86 =	86
Multiple Dependent			

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	
Code	Code	(\$)	Code	Code	(\$)	Fee Description	
1202	2202	18	9	Claims in excess of 20			
1201	2201	86	43	Independent claims in excess of 3			
1203	2203	290	145	Multiple dependent claim, if not paid			
1204	2204	86	43	**Reissue independent claims over original patent			
1205	2205	18	9	**Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)		(\$)		518			

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid		
Fee Code	Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					

SUBTOTAL (3) **(\$)** 0

•Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Dorian Cartwright	Registration No. (Attorney/Agent)	53,853	Complete (if applicable)
Signature	<i>Dorian Cartwright</i>		Date	March 26, 2004